



**AMENDMENT TRANSMITTAL**

**PATENT**

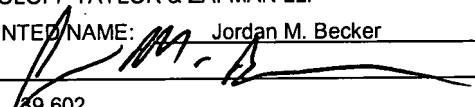
Application No.: 10/077,696  
Filing Date: 02/13/2002  
First Named Inventor: Nilesh Shah  
Examiner's Name: Burgess, Barbara N.  
Art Unit: 2157  
Attorney Docket No.: 5693.P213

- ☐ An Amendment After Final Action (37 CFR 1.116) is attached and applicant(s) request expedited action.
- ☒ Charge any fee not covered by any check submitted to Deposit Account No. 02-2666.
- ☒ Applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 CFR 1.16 and 1.17, for any concurrent or future reply to Deposit Account No. 02-2666.
- ☐ Applicant(s) claim small entity status (37 CFR 1.27).

**ATTACHMENTS**


- ☐ Preliminary Amendment
- ☒ Amendment/Response with respect to Office Action
- ☐ Amendment/Response After Final Action (37 CFR 1.116) (reminder: consider filing a Notice of Appeal)
- ☐ Notice of Appeal
- ☐ RCE (Request for Continued Examination)
- ☐ Supplemental Declaration
- ☐ Terminal Disclaimer (reminder: if executed by an attorney, the attorney must be properly of record)
- ☒ Information Disclosure Statement (IDS)
- ☐ Copies of IDS citations
- ☒ Petition for Extension of Time
- ☒ Fee Transmittal Document (that includes a fee calculation based on the type and number of claims)
- ☐ Cross-Reference to Related Application(s)
- ☐ Certified Copy of Priority Document
- ☒ Other: Applicant Initiated Interview Request Form
- ☐ Other: \_\_\_\_\_
- ☒ Check(s)
- ☒ Postcard (Return Receipt)

**SUBMITTED BY:**

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP  
TYPED OR PRINTED NAME: Jordan M. Becker  
SIGNATURE:   
REG. NO.: 39,602  
DATE: May 24, 2007  
ADDRESS: 12400 Wilshire Boulevard, Seventh Floor  
Los Angeles, California 90025  
TELEPHONE NO.: (408) 720-8300

**CERTIFICATE OF MAILING VIA ELECTRONIC FILING SYSTEM (if applicable)**

I hereby certify that this correspondence is being submitted electronically via EFS Web to the United States Patent and Trademark Office on the date shown below.

on May 24, 2007 Date of Deposit  
Cynthia Mirelez  
 Name of Person Mailing Correspondence  
May 24, 2007 Date

Express Mail Label No. (if applicable): \_\_\_\_\_



### FEE TRANSMITTAL FOR FY 2007

(Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

TOTAL AMOUNT OF PAYMENT (\$) 450.00

Complete if Known:

Application No. 10/077,696  
Filing Date 02/13/2002  
First Named Inventor Nilesh Shah  
Examiner Name Burgess, Barbara N.  
Art Unit 2157  
Attorney Docket No. 5693.P213

Applicant claims small entity status. See 37 CFR 1.27.

#### METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify)

#### Deposit Account

Deposit Account Number : 02-2666

Deposit Account Name: \_\_\_\_\_

☒ The Director is Authorized to do the following with respect to the above-identified Deposit Account:

- ☒ Charge fee(s) indicated below.  
☒ Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application.  
☐ Charge fee(s) indicated below except for the filing fee  
☒ Credit any overpayments.  
☒ Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

#### FEE CALCULATION

##### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Large Entity		Small Entity		Fee Description		Fees Paid (\$)
Code	Fee (\$)	Code	Fee (\$)			
1011	300	2011	150	Utility application filing fee	} 1,000/500	_____
1111	500	2111	250	Utility search fee		_____
1311	200	2311	100	Utility examination fee		_____
1012	200	2012	100	Design application filing fee	} 430/215	_____
1112	100	2112	50	Design search fee		_____
1312	130	2312	65	Design examination fee		_____
1013	200	2013	100	Plant filing fee	} 660/330	_____
1113	300	2113	150	Plant search fee		_____
1313	160	2313	80	Plant examination fee		_____
1004	300	2004	150	Reissue filing fee	} 1,400/700	_____
1114	500	2114	250	Reissue search fee		_____
1314	600	2314	300	Reissue examination fee		_____
1005	200	2005	100	Provisional application filing fee		_____
SUBTOTAL (1) \$						_____

**2. EXCESS CLAIM FEES****Fee Description****Large Entity      Small Entity**

Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
1202	50	2202	25
1201	200	2201	100
1203	360	2203	180
1204	200	2204	100
1205	50	2205	25

**Fee Description**

Each claim over 20

Each independent claim over 3

Multiple dependent claims, if not paid

Reissue: each claim over 20 and more than in the original patent

Reissue: each independent claim more than in the original patent

	<u>Extra Claims</u>	<u>Fee</u>	<u>Fees Paid (\$)</u>
Total Claims <u>26</u> - 23 or HP = <u>3</u>		X \$50.00	= 150.00
HP = highest number of total claims paid for, if greater than 20			
Independent Claims <u>3</u> - 3 or HP = <u>        </u>		X \$200.00	=
HP = highest number of independent claims paid for, if greater than 3			
Multiple Dependent Claims			=

SUBTOTAL (2) \$ 150.00**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 C.F.R. 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee from below</u>	<u>Fees paid (\$)</u>
<u>        </u> - 100 = <u>        </u> / 50 = <u>        </u> (round up to whole number)			X \$250.00	<u>        </u>

**Large Entity      Small Entity**

Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
1081	250	2081	125
1082	250	2082	125
1083	250	2083	125
1084	250	2084	125

**Fee Description: Application size fee for each additional group of 50 sheets beyond initial 100 sheets (count spec & drawings except sequences & program listings):**

Utility

Design

Plant

Reissue

SUBTOTAL (3) \$ 0.00

**FEE CALCULATION (continued)****4. OTHER FEE(S)**

				<u>Fees Paid (\$)</u>										
Non-English Specification, \$130 fee (no small entity discount)														
<table border="0"> <thead> <tr> <th colspan="2"><u>Large Entity</u></th> <th colspan="2"><u>Small Entity</u></th> <th></th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th><u>Fee Description</u></th> </tr> </thead> </table>				<u>Large Entity</u>		<u>Small Entity</u>			Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description</u>	
<u>Large Entity</u>		<u>Small Entity</u>												
Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description</u>										
1051	130	2051	65	Surcharge - late filing fee or oath										
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet										
1053	130	1053	130	Non-English specification										
1812	2,520	1812	2,520	For filing a request for ex parte reexamination										
1813	8,800	1813	8,800	Request for inter parties reexamination										
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action										
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action										
1251	120	2251	60	Extension for reply within first month										
1252	450	2252	225	Extension for reply within second month										
1253	1,020	2253	510	Extension for reply within third month										
1254	1,590	2254	795	Extension for reply within fourth month										
1255	2,160	2255	1,080	Extension for reply within fifth month										
1401	500	2401	250	Notice of Appeal										
1402	500	2402	250	Filing a brief in support of an appeal										
1403	1,000	2403	500	Request for oral hearing										
1451	1,510	1451	1,510	Petition to institute a public use proceeding										
1452	500	2452	250	Petition to revive - unavoidable										
1453	1,500	2453	750	Petition to revive - unintentional										
1501	1,400	2501	700	Utility issue fee (or reissue)										
1502	800	2502	400	Design issue fee										
1503	1100	2503	550	Plant issue fee										
1462	400	1462	400	Petitions to the Commissioner (CFR 1.17(f) Group I)										
1463	200	1463	200	Petitions to the Commissioner (CFR 1.17(g) Group II)										
1464	130	1464	130	Petitions to the Commissioner (CFR 1.17(h) Group III)										
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)										
1806	180	1806	180	Submission of Information Disclosure Stmt										
8021	40	8021	40	Recording each patent assignment per property (times number of properties)										
1809	790	2809	395	For filing a submission after final rejection (see 37 CFR 1.129(a))										
1814	130	2814	65	Statutory Disclaimer										
1810	790	2810	395	For each additional invention to be examined (see 37 CFR 1.129(b))										
1801	790	2801	395	Request for Continued Examination (RCE)										
1802	900	1802	900	Request for expedited examination of a design application										
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.										
1505	300	1505	300	Publication fee for republication										
1803	130	1803	130	Request for voluntary publication or republication										
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)										
1454	1,370	1454	1,370	Acceptance of unintentionally delayed claim for priority										
Other fee (specify) _____														
Other fee (specify) _____														
<b>SUBTOTAL (4)</b>				<b>\$ 450.00</b>										

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: Jordan M. BeckerSignature: Date: May 24, 2007Reg. Number: 39,602Telephone Number: 408-720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



## Applicant Initiated Interview Request Form

Application No.: 10/077,696 First Named Applicant: Nilesh Shah  
Examiner: Burgess, Barbara N Art Unit: 2157 Status of Application: Active

### Tentative Participants:

(1) Jordan M. Becker (2) Jigang Jin  
(3) \_\_\_\_\_ (4) \_\_\_\_\_

Proposed Date of Interview: To Be Determined Proposed Time: \_\_\_\_\_ (AM/PM)

### Type of Interview Requested:

(1) ☒ Telephonic (2) ☐ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☒ NO

If yes, provide brief description: \_\_\_\_\_

## Issues To Be Discussed

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Continuation Sheet Attached					

### Brief Description of Arguments to be Presented:

Applicant would like to discuss the patentability of claims 1 & 11 with the  
examiner upon the examiner's further action with regard to this application  
in response to this office action response.

An interview was conducted on the above-identified application on \_\_\_\_\_.

**NOTE:** This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

\_\_\_\_\_  
Applicant/Applicant's Representative Signature

Jordan M. Becker

\_\_\_\_\_  
Typed/Printed Name of Applicant or Representative

39,602

\_\_\_\_\_  
Registration Number, if applicable

  
\_\_\_\_\_  
Examiner/SPE Signature

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.